
Name and Address: Hilltop Securities Inc.
1201 Elm Street, Suite 3500
Dallas, TX 75270

TAX ID # 75-1382137 FAX # 214-859-1702

Prime Broker Account Number
DTC Clearing Number 0279
Executing Broker ID on Omgeo ID Confirms 00779
PB Paperwork and affirmations PHONE # 214-859-6421
FAX # 214-859-1702
PBQops@hilltopsecurities.com

ACAT Physical Delivery – 0279 NY Envelope, Dealersphyclear@hilltopsecurities.com
NSCC/SIAC
Physical Delivery of Securities:
Hilltop Securities Inc.
ATTN: STOCK TRANSFER DEPT.
REF: (HTS Customer a/c#)
1201 Elm Street, Suite 3500
Dallas, TX 75270-2180

All REIT, Limited Partnership and Private
Placement reregistration forms should be sent
to the address above,
Attn: AIP Dept.

***HILLTOP SECURITIES INC. DOES NOT
ACCEPT PROMISSORY NOTES, ANNUITIES OR
ANY ASSETS THAT ARE NOT PUBLICLY
TRADED.

Dividend Reinvestment or closed-end Mutual
Funds
Transfer all full shares. Liquidate fractions and
send a check.

Fed-Wire Clearance: BK OF NYC/HILLTOP
GNMA, FNMA, US TRSY, ABA # 021000018
Strips, Notes, Agencies FedDealers@hilltopsecurities.com

Fed Wired Funds: JPMorgan Chase Bank, N.A.
270 Park Avenue
New York, NY 10017-2070
ABA # 021000021
Hilltop Securities Inc.
Account # 08805076955
FFC: (customer name & account number @ Hilltop)
Attention: (Settlement Dept.)
International Wire Instructions to Southwest Securities
Use the same above instructions and add the
SWIFT Address: CHASUS33

Foreign Clearance Instructions: Canadian Local Market: CUID: RBCT Account#: T12213111
JPM Chase FAO: Southwest Securities
Euro clear: JPMChase/Southwest #16867
Email: DealersEuroclear@hilltopsecurities.com
Global Custodian BIC: MGTCEBEBE